



Customer Account Transfer Form

Use this form to request the transfer of your existing account to RQD Clearing.

Please attach a copy of the most recent statement for the delivering account.

Questions? [AutoShares Support](#)

1. RQD Account Information (#4305)

AutoShares Account Number TPRO-AUT-_____

2. Delivering Account Information -This is the brokerage account you are transferring to AutoShares. The name, title, and tax ID of the account should match the info on your new brokerage account.

Delivering Brokerage Account Number:

Delivering Firm Name:

From Account Name/Title:

Delivering Firm DTC Number:
(if you don't know, leave blank)

SSN/Tax ID:

Delivering Firm Phone Number:

Co-Owner SSN/Tax ID:

Account Type (Cash, Margin, IRA, etc):

Delivering Firm Email Contact:

3. Transfer Details

Full Transfer – To transfer the entire account.

OR

Partial Transfer – Please list the symbol(s)/CUSIP(s) and quantity of the securities to transfer attached to this form.

4. Authorization to Transfer

Unless otherwise indicated in the instructions above, please transfer, in-kind, all assets into my account with RQD Clearing. I understand that the extent any assets in my account are not readily transferable, with or without any penalties, such assets may not be transferred within the time frames required by applicable regulations. I understand I will be contacted by the carrying and/or receiving firm with regard to any assets that are not transferable. I authorize the Transferor to deduct any outstanding fees due to transfer from the credit balance, or if the credit balance in the account is insufficient to satisfy any outstanding fees. If certificates or other instruments in my account are in your physical possession, I instruct Transferor to transfer them in good deliverable form, including affixing any necessary tax waivers, to enable the successor custodian to transfer them in its name for the purpose of sale, when and as directed by me. I understand that upon receiving a copy of this transfer instruction, for a full account transfer, Transferor will freeze my account and cancel all open orders. I also understand that no new orders may/will be taken. I affirm that I have destroyed or returned to the Transferor all credit/debit cards and/or unused checks issued to me in connection with my account. If this RQD Clearing account is a qualified retirement account, I have amended the applicable plan to designate the successor custodian. Alternatively, if this RQD Clearing account is an Individual Retirement Account (IRA), I have adopted an IRA plan so that it names the successor custodian. Registration Differences: All delivering and receiving account owners are required to sign the transfer form. For unlike titled or type transfers, such as Individual to Joint, Individual to Trust, or other non-like titles, I authorized the transfer by signing below. One and the Same Name Discrepancies: If you are transferring an account, and the name(s) at RQD Clearing do not exactly match the delivering account title but you are still one and the same person, the owner must sign the form using all variations. This would apply if your name has changed due to one or more of the following: Marriage, Divorce, Name Spelling Error, or any type of name change including Jr., Sr., etc. For last name differences, you must supply legal documentation along with the transfer form; such as state issued driver's license, passport, or government ID. I authorize the transfer by signing below.

Printed Name:

Owner Signature:

Date:

Printed Name:

Co-Owner Signature:

Date:

FOR INTERNAL USE

Corr. Approval Name:

Corr. Signature:

Date:

